ESPO C	ORPORATE RISI	K REGISTER					ISSUED/ R	REVISED DATE	16/02/12				Appendix 2
			1				RAW RISK RATING PRIOR TO			RESIDUAL RISK RATING			1.1.
							CONTROL MEASURES			FOLLOWING CONTROL			
							EXPECTE			EXPECTED L		RISK	00111151150
RISK	LINK TO BUSINESS	DIOL T (DE	014/1/50	DATE	LAST	BEGGBIRTION	IMPACT	LIKELIHOOD F		IMPACT	D	SCORE	COMMENTS
REF:	PLAN OBJECTIVES	RISK TYPE	OWNER	IDENTIFIED	REVIEWED	DESCRIPTION (T	(A)	(B)	(A X B)	(A)	(B)	(A X B)	1
001	4. Protect	Operational	MIZ	12/02/07	20/04/42	Major systems failure (IT, power,	4	E	20	2	3	9	
001	Members' Interests 5. Derive value for	Risk	MK	12/02/07	30/01/12	communications etc) preventing service Failure to meet customers' expectations	4	5	20	3	3	9	
002	Members.	Business Risk	KW	19/02/07	15/02/12	or requirements leading to loss of	5	4	20	3	2	6	
002	4. Protect	Dusiness Mak	IXVV	19/02/07	13/02/12	Substantial trading losses for two or			20	3		- 0	
004	Members' Interests	Funding risk	KW	19/02/07	15/02/12	more consecutive years	5	3	15	5	1	5	
	1. Deliver	Operational	1000	10/02/07	10/02/12	Sustained failure to attract or retain			10				
005	Procurement	Risk	PJ	19/02/07	17/02/12	quality staff	5	3	15	5	2	10	
	4. Protect					Insufficient Funding to meet operational							
006	Members' Interests	Funding risk	MK	19/02/07	30/01/12	requirements	4	4	16	4	2	8	
	1. Deliver												
	Procurement	Operational				Premises closure resulting from							
007	efficiencies	Risk	PJ	19/02/07	17/02/12	fire/flood etc.	5	5	25	3	2	6	
	4. Protect	5 . 5		40/00/07	00/04/40			•	40			_	
800	Members' Interests		DS	19/02/07	23/01/12	Governance failures by Management	4	3	12	4	2	8	
040	4. Protect	Reputation	KW	19/02/07	15/02/12	Risk of change in customer perception or adverse publicity		2	0		1		
010	Members' Interests 1. Deliver	Risk	KW	19/02/07	15/02/12	National/Regional Procurement	4	2	8	4	1	4	
	Procurement	Operational				architecture reduces size of customer							
011	efficiencies	Risk	EW	19/02/07	09/02/12	base	5	3	15	5	2	10	
011	1. Deliver	KISK		19/02/07	09/02/12	Dase	J	3	15	3		10	
	Procurement	Operational											
012	efficiencies	Risk	KW	19/02/07	15/02/12	Liquidation/closure of major supplier's)	3	3	9	3	2	6	
	1. Deliver					,		-	-				
	Procurement	Operational											
013	efficiencies	Risk	PJ	30/08/07	17/02/12	Flu or similar widespread epidemic	4	2	8	4	2	8	
	4. Protect	Operational						_		_	_	_	
014	Members' Interests  1. Deliver	Risk	DS	28/06/07	23/01/12	Unexpected loss of key senior staff.	4	5	20	3	2	6	
	Procurement	Operational											
015	efficiencies	Risk	PJ	31/08/07	17/02/12	Industrial action by ESPO staff	3	2	6	3	1	3	
	4. Protect	Operational				Unexpected loss or long-term absence							
016	Members' Interests	Risk	PJ	30/08/07	15/02/12	of employee(s)	4	2	8	3	2	6	
	4. Protect	Operational &											
017	Members' Interests	Reputation	KM	11/02/08	15/02/12	Inability to complete major projects	4	4	16	3	4	12	
	4. Protect	Operational											
020	Members' Interests	Risk	MK	01/09/09	03/02/12	Risk of fraud or corruption by employees	4	5	20	3	3	9	
	4. Protect	Operational				Risk of business failure by major							
021	Members' Interests	Risk	MK	01/09/09	30/01/12	commercial debtors	4	4	16	3	4	12	
05-	4. Protect	Operational	D./	00/00/00	4=10014=		l .	•	40				
022	Members' Interests	Risk	DK	08/02/09	15/02/12	Energy Contracts financial risk exposure	4	3	12	2	3	6	
00:	5. Derive value for	Governance &	D0	05/04/46	00/04/45	Lancate Manufacture Authority	l .		40			40	
024	Members.	Business Risk	DS	05/01/12	23/01/12	Loss of a Member Authority	4	4	16	3	4	12	
	4 Desteri												
005	4. Protect	Dusings - Dist	DC	05/04/40	22/04/40	Increased competition		2	10	_	2		
025	Members' Interests		DS EW	05/01/12	23/01/12	Increased competition	4	3	12	4	2	8	
	4. Protect	Operational	⊏VV	07/02/12	07/02/12	Procurement Directives and Contract							
026	Members' Interests	Risk				Regulations increase potential for supplier challenge	5	3	15	5	2	10	
026	4. Protect	Operational &	EW	07/02/12	07/02/12	Issues arising from collaborative	5	3	10	5		10	
	Members' Interests		<b>∟ ∨ ∨</b>	01/02/12	01102112	procurement and joint working							
027	monibora interests	Risk				proceroment and joint working	4	4	16	4	3	12	
021	Deliver operational	Operational	PJ	17/02/12	17/02/12	Indigo upgrade	_	•	10	-		12	
	efficiency	Risk			52, 12								
28	improvements						3	2	6	2	2	3	
	p						. <u> </u>					-	•