

ESPO CORPORATE RISK REGISTER

ISSUED/ REVISED DATE 16/02/12

Appendix 2

RISK REF:	LINK TO BUSINESS PLAN OBJECTIVES	RISK TYPE	OWNER	DATE IDENTIFIED	LAST REVIEWED	DESCRIPTION	RAW RISK RATING PRIOR TO CONTROL MEASURES			RESIDUAL RISK RATING FOLLOWING CONTROL			COMMENTS
							EXPECTED IMPACT (A)	LIKELIHOOD (B)	RISK SCORE (A X B)	EXPECTED IMPACT (A)	LIKELIHOOD (B)	RISK SCORE (A X B)	
001	4. Protect Members' Interests	Operational Risk	MK	12/02/07	30/01/12	Major systems failure (IT, power, communications etc) preventing service	4	5	20	3	3	9	
002	5. Derive value for Members.	Business Risk	KW	19/02/07	15/02/12	Failure to meet customers' expectations or requirements leading to loss of	5	4	20	3	2	6	
004	4. Protect Members' Interests	Funding risk	KW	19/02/07	15/02/12	Substantial trading losses for two or more consecutive years	5	3	15	5	1	5	
005	1. Deliver Procurement	Operational Risk	PJ	19/02/07	17/02/12	Sustained failure to attract or retain quality staff	5	3	15	5	2	10	
006	4. Protect Members' Interests	Funding risk	MK	19/02/07	30/01/12	Insufficient Funding to meet operational requirements	4	4	16	4	2	8	
007	1. Deliver Procurement efficiencies	Operational Risk	PJ	19/02/07	17/02/12	Premises closure resulting from fire/flood etc.	5	5	25	3	2	6	
008	4. Protect Members' Interests	Business Risk	DS	19/02/07	23/01/12	Governance failures by Management	4	3	12	4	2	8	
010	4. Protect Members' Interests	Reputation Risk	KW	19/02/07	15/02/12	Risk of change in customer perception or adverse publicity	4	2	8	4	1	4	
011	1. Deliver Procurement efficiencies	Operational Risk	EW	19/02/07	09/02/12	National/Regional Procurement architecture reduces size of customer base	5	3	15	5	2	10	
012	1. Deliver Procurement efficiencies	Operational Risk	KW	19/02/07	15/02/12	Liquidation/closure of major supplier(s)	3	3	9	3	2	6	
013	1. Deliver Procurement efficiencies	Operational Risk	PJ	30/08/07	17/02/12	Flu or similar widespread epidemic	4	2	8	4	2	8	
014	4. Protect Members' Interests	Operational Risk	DS	28/06/07	23/01/12	Unexpected loss of key senior staff.	4	5	20	3	2	6	
015	1. Deliver Procurement efficiencies	Operational Risk	PJ	31/08/07	17/02/12	Industrial action by ESPO staff	3	2	6	3	1	3	
016	4. Protect Members' Interests	Operational Risk	PJ	30/08/07	15/02/12	Unexpected loss or long-term absence of employee(s)	4	2	8	3	2	6	
017	4. Protect Members' Interests	Operational & Reputation Risk	KM	11/02/08	15/02/12	Inability to complete major projects	4	4	16	3	4	12	
020	4. Protect Members' Interests	Operational Risk	MK	01/09/09	03/02/12	Risk of fraud or corruption by employees	4	5	20	3	3	9	
021	4. Protect Members' Interests	Operational Risk	MK	01/09/09	30/01/12	Risk of business failure by major commercial debtors	4	4	16	3	4	12	
022	4. Protect Members' Interests	Operational Risk	DK	08/02/09	15/02/12	Energy Contracts financial risk exposure	4	3	12	2	3	6	
024	5. Derive value for Members.	Governance & Business Risk	DS	05/01/12	23/01/12	Loss of a Member Authority	4	4	16	3	4	12	
025	4. Protect Members' Interests	Business Risk	DS	05/01/12	23/01/12	Increased competition	4	3	12	4	2	8	
026	4. Protect Members' Interests	Operational Risk	EW	07/02/12	07/02/12	Procurement Directives and Contract Regulations increase potential for supplier challenge	5	3	15	5	2	10	
027	4. Protect Members' Interests	Operational & Reputational Risk	EW	07/02/12	07/02/12	Issues arising from collaborative procurement and joint working	4	4	16	4	3	12	
028	Deliver operational efficiency improvements	Operational Risk	PJ	17/02/12	17/02/12	Indigo upgrade	3	2	6	2	2	3	